PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

108298522U\$1 Attorney Docket No. First Inventor Scott E. Moore PLANARIZING MACHINES AND CONTROL SYSTEMS FOR MECHANICAL AND/OR CHEMICAL-MECHANICAL Title PLANARIZATION OF MICROELECTRONIC SUBSTRATES

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for fee processing)

Applicant claims small entity status.

(preferred arrangement set forth below)

- Cross Reference to Related Applications

- Brief Description of the Drawings (if filed)

b. Oppy from a prior application (37 CFR 1.63 (d))

Signed statement attached deleting inventor(s)

named in the prior application, see 37 CFR

□ Divisional

Application No.

Paul T. Parker

- Reference to sequence listing, a table, or a computer program listing appendix

- Statement Regarding Fed sponsored R & D

- Descriptive title of the Invention

- Background of the Invention

- Detailed Description

- Abstract of the Disclosure

Drawing(s) (35 U.S.C.113)

1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

or in an Application Data Sheet under 37 CFR 1.76:

a. Newly executed (original or copy)

i. ☐ DELETION OF INVENTOR(S)

- Claim(s)

5. Oath or Declaration

☐ Continuation

☐ Claims the benefit of

Name (Print/Type)

Signature

Prior application information:

□ Customer Number or Bar Code Label

- Brief Summary of the Invention

See 37 CFR 1.27.

Specification

1. 🛛

2. 🔲

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4. 🛛

APPLICATION ELEMENTS

[Total Pages

[Total Sheets 9

[Total Pages | 1

Express Mail Label No. EV343557063US Mail Stop Patent Application Commissioner for Patents ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. 🗌 paper c. Statements verifying identity of above copies **ACCOMPANYING APPLICATIONS PARTS** 9. 🔲 Assignment Papers (cover sheet & document(s)) 10. □ 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS] Statement (IDS)/PTO-1449 Citations 13. 🛛 **Preliminary Amendment** 14. 🛛 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. \square Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. 🛛 Other: 1) General Authorization for Extensions of Time and Payment of Fees; 2) Check for Fees 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, ☐ Continuation-in-part (CIP) of prior application No: 09 / 651,240 Examiner: Dung V. Nguyen Group / Art Unit: 3723 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS or \square Correspondence address below PATENT TRADEMARK OFFICE Registration No. (Attorney/Agent) 38,264

Date

July 15, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
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Complete if Known				
Application Number				
Filing Date				
First Named Inventor	Scott E. Moore			
Examiner Name				
Art Unit				
Attorney Docket No.	108298522US1			
	Application Number Filing Date First Named Inventor Examiner Name Art Unit			

METHOD OF PAYMENT (check all that apply)	FEE CAI				FEE CALCULATION (continued)						
□ Check □ Credit card □ Money □ Other □ None	1. B/	1. BASIC FILING FEE									
Order	Large Entity Small Entity										
Deposit Account:	<u> </u>				Fee Description Fee Paid						
Deposit Account Number 50-0665	Code	(\$)	Code	(\$)							
Deposit Account Name	1051	130	2051	65	Surcharge - late filing fee or oath						
The Commissioner is authorized to: (check all that apply)	1052 50 2052 25 Surcharge – late provisional filing fee or										
Charge fee(s) indicated below Credit any overpayments	cover sheet										
Charge any underpayment of fees during the pendency of this	1053 130 1053 130 Non-English Specification										
application	1812 2,520 1812 2,520 For filing a request for ex parte reexamination										
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1804										
FEE CALCULATION			4005	4.0404	Examiner action						
1. BASIC FILING FEE	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action						
Large Entity Small Entity	1251	110	2251	55	Extension for reply within first month						
Fee Fee I Fee Fee Fee Description Fee Paid	1252	410	2252	205	Extension for reply within second month						
Code (\$) Code (\$)	1253	930	2253	465	Extension for reply within third month						
1001 750 2001 375 Utility filing fee 750	1254	1,450	2254	725	Extension for reply within fourth month						
1002 330 2002 165 Design filing fee	1255	1,970	2255	985	Extension for reply within fifth month						
1003 520 2003 260 Plant filing fee	1401	320	2401	160	Notice of Appeal						
1004 750 2004 375 Reissue filing fee	1402	320	2402	160	Filing a brief in support of an appeal						
1205 160 2005 80 Provisional filing fee	1403	280	2403	140	Request for oral hearing						
	1451	1,510	1451	1,510	Petition to institute a public use proceeding						
SUBTOTAL (1) (\$) 750	1452	110	2452	55	Petition to revive - unavoidable				Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1,300 2453 650 Petition to revive - unintentional										
Extra Fee from	1501	1,300	2501	650	Utility issue fee (or reissue)						
Claims below Fee Paid	1502	470	2502	235	Design issue fee						
Total Claims 6 -20** = 0 X 18 = 0	1503	630	2503	315	Plant issue fee						
Total Claims 6 -20** = 0 X 18 = 0 Independent Claims 1 - 3** = 0 X 84 = 0 Multiple Dependent = 0	1460	130	1460	130	Petitions to the Commissioner						
Multiple Dependent = 0	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)						
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure						
Fee Fee Fee Fee Fee Description	8021	40	8021	40	Stmt Recording each patent assignment per						
Code (\$) Code (\$)	10021	40	0021	70	property (times number of properties)						
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection						
1201 84 2201 42 Independent claims in excess of 3					(37 CFR 1.129(a))						
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be						
1204 84 2204 42 ** Reissue independent claims over					examined (37 CFR 1.129(b))						
original patent	1801	750	2801 375 Request for Continued Examination (RCE)								
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application										
SUBTOTAL (2) (\$) 0	Other fee (specify)										
	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0										
**or number previously paid, if greater: For Reissues, see above		•		_	, , , , ,						

SUBMITTED BY	(Complete (if applicable)				
Name (Print/Type)	Paul T. Parker	Registration No. (Attorney/Agent)	38,264	Telephone	206.287.3258
Signature	PJ T. PJ			Date	July 15, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

SCOTT E. MOORE

FILED:

CONCURRENTLY HEREWITH

For:

PLANARIZATION MACHINES AND

CONTROL SYSTEMS FOR

MECHANICAL AND/OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC SUBSTRATES

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Authorization for Extensions of Time Under 37 C.F.R. § 1.136(a)(3)

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0665.

Respectfully submitted, Perkins Coie LLP

Date: July 15, 2003

Paul T. Parker

Registration No. 38,264

Correspondence Address:

Customer No. 25096
Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
(206) 583-8888